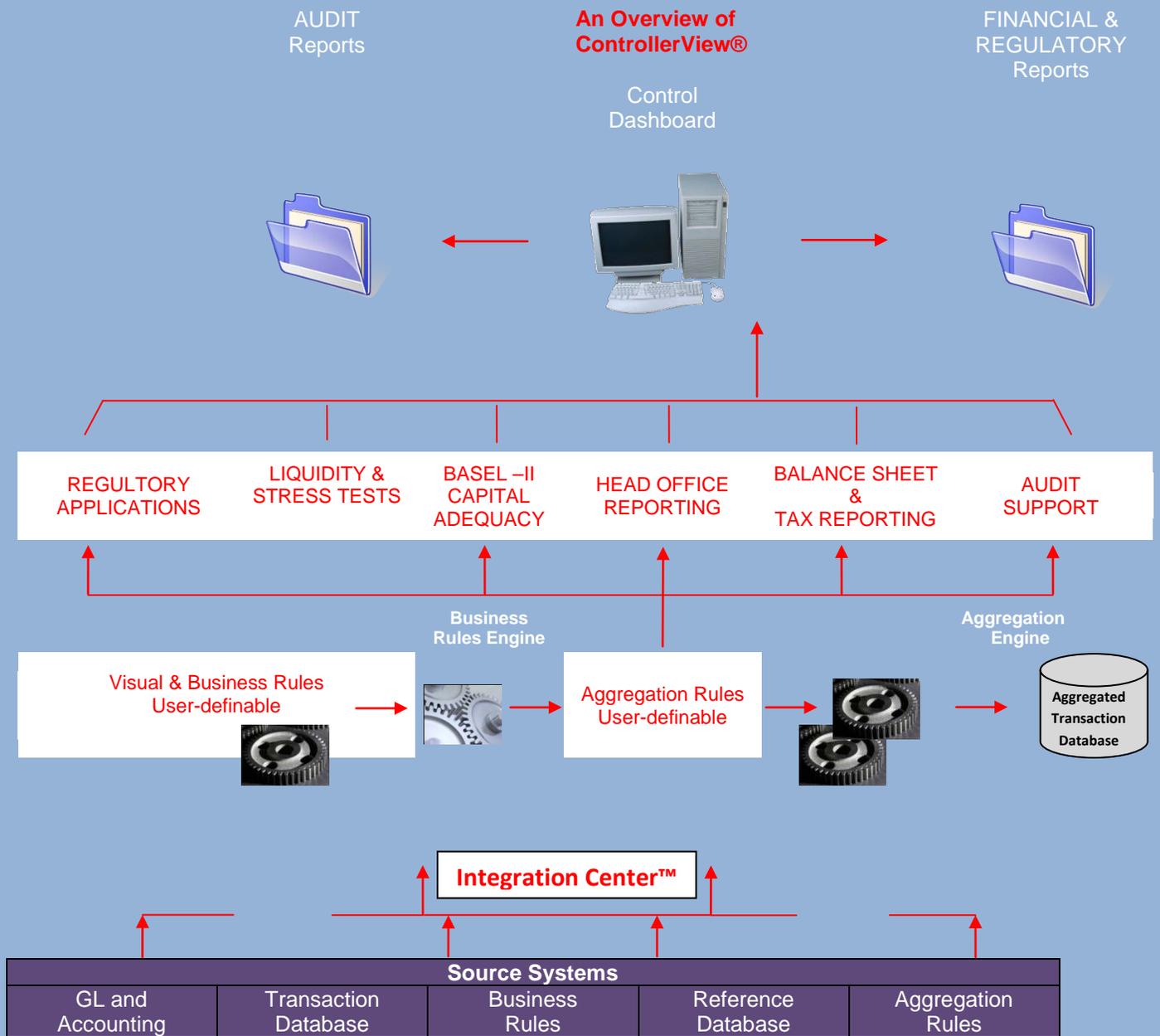**Global Solutions For The Dynamic Financial Enterprise**

**The most efficient way to address emerging
regulatory standards, financial reporting & support business decisions.**

Meeting Banks and Financial Regulatory demands – providing decision support

● Successful risk management and financial controls are critically dependent on the quality and organization of a company's data.

● This financial regulatory software solution has been developed around a service oriented architecture (SOA) that integrates seamlessly with your organization's existing applications and data sources.

● This software provides full control from data sourcing, enterprise data model, calculations, processes and output reports resulting in reduced delivery time and immediate ownership of the technology by both business and IT users.

● This financial regulatory software solution gives unprecedented ability to deal with change; change in the client's business; change in technology architecture; change in the data inputs and change in regulatory and economic environments.

● The emerging global liquidity standards, the upcoming Solvency II deadlines and other anticipated new returns will be available within this product, with transparency and audit templates for locations world-wide.

AUDIT
Reports

**An Overview of
ControllerView®**

Control
Dashboard

FINANCIAL &
REGULATORY
Reports

REGULTORY APPLICATIONS	LIQUIDITY & STRESS TESTS	BASEL –II CAPITAL ADEQUACY	HEAD OFFICE REPORTING	BALANCE SHEET & TAX REPORTING	AUDIT SUPPORT

**Business
Rules Engine**

**Aggregation
Engine**

Visual & Business Rules
User-definable

Aggregation Rules
User-definable

Aggregated
Transaction
Database

Integration Center™

Source Systems				
GL and Accounting	Transaction Database	Business Rules	Reference Database	Aggregation Rules

Product Overview
Banks Financial Regulatory and Reporting Software

✓ This product is not a "black box", ControllerView® provides total transparency across business rules logic and data, allowing clients to quickly understand the content of their reports while obtaining confidence from regulators.

✓ No lengthy mapping process whereby your systems have to be mapped to a vendor arbitrary data dictionary - We deliver your data "as it is" and you view it "as it is"!

✓ We work with your data and your existing business models - we do not ask you to change but act as the perfect integration layer to all accurate communication of financial, risk and regulatory reports to senior management and regulators.

The Solution

This is a comprehensive solution for all levels of financial, regulatory & management reporting. ControllerView provides an integrated solution with International coverage and on-demand data analysis, ensuring confidence among users, management, auditors and regulators by offering:

✓ Stress Testing to support definitions of various regulators and user scenarios

✓ Regulatory reporting across global jurisdictions (BoE, Federal Reserve, MAS, FSA, etc.)

✓ Basel II Capital Reporting and calculation support

✓ Drill Down to Transaction, Account level and Reference data analysis

✓ Full control reporting logic via Visual Business Rules

✓ Interfaces with all major GL systems, multi-GAAP coverage

✓ Electronic Report Submission, Validation, Edit Checking, Adjustment facility and history

✓ Liquidity Reporting supporting firms with their need to identify and measure the full range of liquidity risks, including contingent liquidity risks

✓ Allows users to get consistent qualification and aggregation of transaction and deal level information for any set of regulatory and financial control requirements

worldwide, using user-defined Visual Business Rules applied to the data source.

✓ The system can maintain multiple charts of accounts giving the client a dynamic platform for regulatory and audit reporting.

This software can also construct, aggregate, analyze and review data consistently, regardless of the data source or location. General reports maybe viewed onscreen with the client interface that allows in-depth analysis and adjustments.

✓ The reports can be submitted electronically using the latest methods prescribed by regulators (such as XBRL).

✓ Reports can be produced intra-day, daily, or at any other interval required by regulators.

✓ Users are given complete control in establishing and maintaining reporting logic of any degree of complexity (incorporating reporting workflows) to fulfill regional or global reporting requirements or any other method of structuring data to reflect the customer organization.

Integration Center™ Overview

● The Integration Center can interface with virtually any front, back office and risk management systems giving risk managers the data needed to perform a wide array of highly extensive analytical tasks for Market, Credit, Operational and Liquidity risks.

● Those processes may include portfolio analytical techniques such as Historical and Monte Carlo simulation, scenario analysis, back testing, stress testing, etc. against multiple data sources with transactions volumes in of millions per day.

● This is accomplished by volatilities and correlations, credit ratings and migration probabilities, yield curves and the results of all risk estimates.

Technical Excellence Overview
It is our technical architecture that sets us apart from our peers.
The product set is based on a multi-tiered open architecture:

✓ Application Server - single or multiple serves, platform independent (UNIX, Linux or Windows)

✓ Client - Java and web based interface running on all operating systems

✓ Database - any ODBC compliant platform (Oracle, DB2, SQL Server, Sybase, etc) XML and Middleware components for interface flexibility and real time performance

Global Deployments Overview
The product has been deployed globally by some of the most complex and largest firms across multiple lines and geographies such as UBS, Goldman Sachs, ABN Amro and Credit Suisse.

The Company Overview

Headquartered in New York with regional offices in London, Paris, Singapore and Tokyo, Axiom provides support services in all time zones.

- ✓ Established in 1991, we started out as a Data Management consultancy firm, and we now have over 10 years experience in delivering enterprise-wide solutions in risk, regulation, financial reporting and data management.

- ✓ We are acknowledged as a leader in our chosen markets.

- ✓ Our independent ownership status has allowed us to take the long term view in terms of product strategy and we reinvest heavily into our product.

For further info, please visit us at http://cohenandklein.com/

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